# Lifting cobordisms and Kontsevich-type recursions for counts of real curves

Xujia Chen

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 $(X, \omega)$ : compact symplectic manifold of dim 2n J: generic  $\omega$ -tame almost complex structure  $B \in H_2(X)$ ,  $H_1, \ldots, H_l \subset X$ : closed submanifolds in general position such that  $2(c_1(TX) \cdot B + n - 3 + l) = \sum_i \operatorname{codim} H_i$ ,  $[H_1], \ldots, [H_l] \in H_*(X)$ : their homology classes,

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This number does not depend on the choices of J and  $H_1, \ldots, H_l$  in  $[H_1], \ldots, [H_l]$ .

 $\mathfrak{M}_l(B) \equiv \text{moduli space of deg } B$  rational J-holomorphic curves in X with l marked points (can be viewed as a smooth manifold)

 $\overline{\mathfrak{M}}_{l}(B) \equiv \text{moduli space of deg } B \text{ nodal rational}$ J-holomorphic curves in X with l marked points (can be viewed as a compact, smooth manifold)





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$$\langle [H_1], \dots, [H_l] \rangle_B^X =$$
intersection number of  
 $\overline{\mathfrak{M}}_l(B) \xrightarrow{\mathsf{ev}} \underbrace{X \times \dots \times X}_{} \longleftrightarrow H_1 \times \dots \times H_l$ 

l times

# WDVV Relations (Kontsevich '92, Ruan-Tian '93)



Together with *splitting formulas* (expressing a nodal count as counts of its components), this gives relations for Gromov-Witten invariants.

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Idea of proof — lifting homology relation from  $\overline{\mathcal{M}}_{0,4}$ 

$$\overline{\mathcal{M}}_{0,4} \equiv \{(z_1, z_2, z_3, z_4) : z_i \in \mathbb{P}^1\} / \operatorname{Aut}(\mathbb{P}^1) \quad \approx \mathbb{P}^1$$

$$[(z_1, z_2, z_3, z_4)] \quad \to \frac{(z_1 - z_2)(z_3 - z_4)}{(z_1 - z_3)(z_2 - z_4)}$$

$$\stackrel{z_1 - \cdots - z_4}{=} = \sigma_0 \quad \to 0$$

$$\stackrel{z_1 - \cdots - z_4}{=} = \sigma_\infty \quad \to \infty$$

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$$\begin{array}{ccc} \overline{\mathfrak{M}}_{l}(B) & \stackrel{\mathrm{ev}}{\longrightarrow} X^{l} & \longleftrightarrow & H_{1} \times \ldots \times H_{l} \\ & & \downarrow^{\mathrm{f:}} \text{ forgetful morphism, mapping a curve to its first 4 marked points} \\ & & \overline{\mathcal{M}}_{0,4} \end{array}$$

$$\begin{split} [\sigma_0] = [\sigma_\infty] \in H_0(\overline{\mathcal{M}}_{0,4}) \Longrightarrow [f^{-1}(\sigma_0)] = [f^{-1}(\sigma_\infty)] \in H_*\big(\overline{\mathfrak{M}}_l(B)\big) \\ \Longrightarrow \text{The intersection numbers} \begin{cases} f^{-1}(0) \xrightarrow{\text{ev}} X^l \leftrightarrow H_1 \times \ldots \times H_l \\ f^{-1}(\infty) \xrightarrow{\text{ev}} X^l \leftrightarrow H_1 \times \ldots \times H_l \end{cases} \\ \text{are equal.} \end{split}$$

#### Real case

 $\begin{array}{l} (X,\omega,\phi) \text{: compact real symplectic manifold of dim } 2n\\ (\text{real means } \phi: X \to X, \phi^* \omega = -\omega, \phi^2 = \mathrm{id}),\\ J\text{: real (i.e. } \phi^* J = -J) \ \omega\text{-tame almost complex structure.}\\ X^\phi := \text{fixed locus of } \phi. \ B \in H_2(X).\\ \text{A rational curve } C \subset X \text{ is called real if } \phi(C) = C. \end{array}$ 

e.g.  $X = \mathbb{CP}^n, \phi([z_0, \dots, z_n]) = [\bar{z}_0, \dots, \bar{z}_n]; X^{\phi} = \mathbb{RP}^n.$ Every curve given by polynomials with real coefficients is real.

 $H_1, \ldots, H_l \subset X$  closed submanifolds,  $p_1, \ldots, p_k \in X^{\phi}$  points, s.t.  $c_1(X)B + n - 3 + k + 2l = \sum_{i=1}^l \operatorname{codim} H_i + nk.$ 

Q: Counts of real curves?



Suppose n=2 or 3. Suppose  $X^{\phi}$  is oriented in n=3 case.

Theorem (Welschinger '03,'05)

The number of degree *B* real rational *J*-holomorphic curves passing through  $H_1, \ldots, H_l, p_1, \ldots, p_k$ , counted with appropriate signs, is independent of *J*,  $p_1, \ldots, p_k$  and  $H_1, \ldots, H_l$  in  $[H_1], \ldots, [H_l] \in H_*(X - X^{\phi}).$ 

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In n=2 case, a curve C is counted with  $(-1)^{\#(\text{isolated real nodes of } C)}$ .



These numbers are called *Welschinger invariants*  $\langle [H_1], \ldots, [H_l] \rangle_B^{\phi}$ .

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Example: first few numbers for  $\mathbb{CP}^2$  (with  $H_1, \ldots, H_l$  being points)

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	$d{=}1$	d=2	d=3
I=0	1	1	<mark>8</mark> ,10,12
l=1	1	1	<mark>6</mark> ,8,10,12
I=2		1	4,6,8,10,12
I=3			<mark>2</mark> ,4,,12
=4			0,2,,12

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#### Theorem (C.'18): When dim X=4,

Solomon's relations for Welschinger invariants hold.

#### Theorem (C.-Zinger'19): When dim X=6,

similar formulas hold for  $(X, \omega, \phi)$  with some finite symmetry. e.g.  $\mathbb{CP}^3$  with a real hyperplane reflection.

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For many symplectic 4-folds and 6-folds, they completely determine all Welschinger invariants recursively. e.g.  $\mathbb{P}^2, \mathbb{P}^1 \times \mathbb{P}^1$ , real blow-ups of  $\mathbb{P}^2, \mathbb{P}^3, \mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^1$ .

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Remark: Solomon-Tukachinsky'19 open WDVV-equations cover dimension 6 case above, but not dimension 4, since  $X^{\phi}$  does not need to be orientable.

Each diagram represents counts of curves of such shape (of total degree B, and passing through the rest of the constraints as well).



Together with splitting formulas, these two equations give WDVV-type relations for Welschinger invariants.



$$\begin{split} \mathbb{R}\mathfrak{M}_{k,l}(B) \equiv & \left\{ u: \mathbb{P}^1 \to X, J\text{-holomorphic}, [u] = B, \phi \circ u = u \circ \tau, \\ & z_1^{\pm}, \dots, z_l^{\pm} \in \mathbb{P}^1, z_i^{-} = \tau(z_i^{+}), x_1, \dots, x_k \in \mathbb{RP}^1 \right\} / \mathsf{Aut}_{\mathbb{R}}(\mathbb{P}^1) \\ = & \mathsf{moduli space of degree } B \text{ real rational } J\text{-holomorphic} \\ & \mathsf{curves with } k \text{ real and } l \text{ conjugate pairs of marked points} \end{split}$$

 $\mathbb{R}\overline{\mathfrak{M}}_{k,l}(B) \equiv$  its compactification by adding in real nodal curves



 $\mathbb{R}\overline{\mathfrak{M}}_{k,l}(B)$  has a stratified space structure.



Remark: Curves of shape  $\phi$  hever appear in our cases. In dim 4 this follows from the dimension condition; in dim 6 this is taken care of by the finite symmetry condition.

 $\mathbb{R}\overline{\mathcal{M}}_{1,2} \equiv \text{moduli space of the relative position of 1 real}$ and 2 conjugate pairs of marked points on  $\mathbb{P}^1$  $\mathbb{R}\overline{\mathcal{M}}_{0,3} \equiv \text{moduli space of the relative position of 3}$ conjugate pairs of marked points on  $\mathbb{P}^1$ 

$$\mathbb{R}\overline{\mathfrak{M}}_{k,l}(B) \xrightarrow{\mathsf{ev}} (X^{\phi})^k \times X^{\phi}$$

$$\downarrow^{\mathsf{f}}$$

$$\mathbb{R}\overline{\mathcal{M}}_{1,2}(\mathsf{resp.} \ \mathbb{R}\overline{\mathcal{M}}_{0,3})$$

$$\begin{aligned} \mathsf{ev}([u, x_1, \dots, z_l^{\pm}]) &= \left(u(x_1), \dots, u(x_k), u(z_1^{+}), \dots, u(z_l^{+})\right) \\ \mathsf{f}([u, x_1, \dots, z_l^{\pm}]) &= (x_1, z_1^{\pm}, z_2^{\pm}) \big(\mathsf{resp.} \ (z_1^{\pm}, z_2^{\pm}, z_3^{\pm})\big) \end{aligned}$$



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Idea: lifting homology relations from  $\mathbb{R}\overline{\mathcal{M}}_{1,2}$  and  $\mathbb{R}\overline{\mathcal{M}}_{0,3}$ , together with bounding manifolds, to incorporate wall-crossing effects coming from walls that obstruct relative-orientability.

- Curves of shape  $\phi_{k,l}^{(1)}$  form (real) codim-1 strata in  $\mathbb{R}\overline{\mathfrak{M}}_{k,l}(B)$ .
- Solomon'06 ⇒ ev|<sub>ℝM<sub>k,l</sub>(B)</sub> is relatively orientable, relative orientation extends through some codim-1 strata, but not the others (let's call them "bad strata".)

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 $(f: X \longrightarrow Y \text{ is relatively orientable if } f^*w_1(Y) = w_1(X);$ equivalently, for every  $x \in X$  we have an identification between the orientations of  $T_xX$  and  $T_{f(x)}Y$ , which varies continuously with x.)

#### Let $\Gamma \subset \mathbb{R}\overline{\mathcal{M}}_{1,2}$ (resp. $\mathbb{R}\overline{\mathcal{M}}_{0,3}$ ) consist of curves of shape



# $\begin{array}{l} \mbox{Georgieva-Zinger'}13 \Longrightarrow \Gamma \mbox{ is a codim-2 submanifold that bounds} \\ \mbox{ in } \mathbb{R}\overline{\mathcal{M}}_{0,3}. \end{array}$

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We take  $Y \subset \mathbb{R}\overline{\mathcal{M}}_{1,2}$  (resp.  $\mathbb{R}\overline{\mathcal{M}}_{0,3}$ ) s.t.

►  $\partial Y = \Gamma$ , and

▶  $Y \hookrightarrow \mathbb{R}\overline{\mathcal{M}}_{1,2}$  (resp.  $\mathbb{R}\overline{\mathcal{M}}_{0,3}$ ) is relatively oriented, i.e.  $\mathcal{N}Y$  is oriented.

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Then, in

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Then, in

$$\mathbb{R}\overline{\mathfrak{M}}_{k,l}(B) \xrightarrow{\operatorname{ev} \times \mathsf{f}} (X^{\phi})^k \times X^l \times \mathbb{R}\overline{\mathcal{M}}_{\substack{1,2 \\ (0,3)}} \underbrace{(p_1 \times \ldots \times p_k \times H_1 \times \ldots \times H_l)}_{\text{denote by } C} \times Y,$$

the intersection numbers

$$\mathbb{R}\overline{\mathfrak{M}}_{k,l}(B) \cdot (C \times \Gamma) = \pm 2 \text{ "bad strata"} \cdot (C \times Y). \qquad (\star)$$

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- LHS of (\*) would be 0 if everything is orientable.
- RHS of (\*) is the wall-crossing effect from obstructions to relative orientability.

 $\mathbb{R}\widehat{\mathfrak{M}}_{k,l}(B) \cdot (C \times \Gamma) = \pm 2 \text{ "bad strata"} \cdot (C \times Y). \quad (\star)$ Proof of  $(\star)$ :  $\mathbb{R}\widehat{\mathfrak{M}}(B) \equiv \operatorname{cut} \mathbb{R}\overline{\mathfrak{M}}_{k,l}(B)$  open along bad strata — so it becomes a manifold with boundary. Then, in

$$\mathbb{R}\widehat{\mathfrak{M}}(B) \xrightarrow{\mathsf{ev} \prec \mathsf{f}} (X^{\phi})^k \times X^l \times \mathbb{R}\overline{\mathcal{M}}_{1,2} \longleftrightarrow C \times Y,$$

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$$\mathbb{R}\widehat{\mathfrak{M}}(B) \xrightarrow{\text{evf}} (X^{\phi})^k \times X^l \times \mathbb{R}\overline{\mathcal{M}}_{1,2} \longleftrightarrow C \times Y$$
  
relatively orientable

 $\mathbb{R}\overline{\mathfrak{M}}_{k,l}(B) \cdot (C \times \Gamma) = \pm 2$  "bad strata"  $\cdot (C \times Y)$ .  $(\star)$ Proof of  $(\star)$ :  $\mathbb{R}\mathfrak{M}(B) \equiv \operatorname{cut} \mathbb{R}\mathfrak{M}_{k,l}(B)$  open along bad strata - so it becomes a manifold with boundary. Then, in  $\mathbb{R}\widehat{\mathfrak{M}}(B) \xrightarrow{\operatorname{ev} \not {\mathsf{f}}} (X^{\phi})^k \times X^l \times \mathbb{R}\overline{\mathcal{M}}_{1,2} \longleftrightarrow C \times Y$ relatively orientable  $0 = \partial \big( (\mathbb{R}\widehat{\mathfrak{M}} \cdot (C \times Y) \big) = \mathbb{R}\widehat{\mathfrak{M}} \cdot \partial (C \times Y) \pm (\partial \mathbb{R}\widehat{\mathfrak{M}}) \cdot (C \times Y).$  $C \times \Gamma$ 2 "bad strata"  $\mathbb{R}\widehat{\mathfrak{M}}(B)$  $C \times Y$ 

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 $\mathbb{R}\overline{\mathfrak{M}}_{k,l}(B) \cdot (C \times \Gamma) = \pm 2 \text{ "bad strata"} \cdot (C \times Y). \qquad (\star)$ 



LHSs = counts of curves represented by  $\Gamma \subset \mathbb{R}\overline{\mathcal{M}}_{1,2}$  (resp.  $\mathbb{R}\overline{\mathcal{M}}_{0,3}$ ) RHSs = counts of curves in "bad strata", cut out by Y

#### Splitting of RHS of $(\star)$ :

a dimension count  $+ \mbox{ good choice of } Y \Longrightarrow$ 



For all bad strata contributing to RHS,

- 1st bubble is rigid
- cut out by Y = fixing position of node on the 1st bubble

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Splitting of LHS of  $(\star)$ :

n=2: immediate, since generically two curves intersect at a fixed number of points in Xn=3: a dimension count  $\Rightarrow$  only two cases:



► real bubble is rigid ⇒ #(nodal curves)= #(real bubble)·#(complex bubble, with an additional constraint)

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- Complex bubble is rigid ⇒ #(nodal curves)= #(complex bubble)·#(real bubble, with an additional complex constraint) We need to determine the homology class of this constraint in H<sub>\*</sub>(X-X<sup>φ</sup>).

We need to determine the homology class of the complex bubble in  $H_*(X - X^{\phi})$ .

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We need to determine the homology class of the complex bubble in  $H_*(X - X^{\phi})$ .



Idea: use a finite group action  $G\!\subset\!{\rm Aut}(X,\omega,\phi)$  s.t.  $H_2(X\!-\!X^\phi)^G\approx H_2(X).$ 

Take constraints  $H_1, \ldots, H_l$  to be *G*-invariant  $\implies$ The complex bubble is *G*-invariant, and thus determined.

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In the presence of such a group action, the invariants are defined

appears —

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even when sphere bubbling (curves of shape the group action cancels such things in pairs.



+ splitting formulas

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#### WDVV-type relations for Welschinger invariants

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# Thank you!

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