# Lifting cobordisms and Kontsevich-type recursions for counts of real curves 

Xujia Chen

## Gromov-Witten invariants

$(X, \omega)$ : compact symplectic manifold of $\operatorname{dim} 2 n$
$J$ : generic $\omega$-tame almost complex structure
$B \in H_{2}(X)$,
$H_{1}, \ldots, H_{l} \subset X$ : closed submanifolds in general position such that $2\left(c_{1}(T X) \cdot B+n-3+l\right)=\sum_{i} \operatorname{codim} H_{i}$, $\left[H_{1}\right], \ldots,\left[H_{l}\right] \in H_{*}(X)$ : their homology classes,

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$\left\langle\left[H_{1}\right], \ldots,\left[H_{l}\right]\right\rangle_{B}^{X} \equiv$
Number of degree $B$ J-holomorphic rational curves in $X$ passing through $H_{1}, \ldots, H_{l}$


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This number does not depend on the choices of $J$ and $H_{1}, \ldots, H_{l}$ in $\left[H_{1}\right], \ldots,\left[H_{l}\right]$.

## Gromov-Witten invariants

$\mathfrak{M}_{l}(B) \equiv$ moduli space of $\operatorname{deg} B$ rational $J$-holomorphic curves in $X$ with $l$ marked points (can be viewed as a smooth manifold)

$\overline{\mathfrak{M}}_{l}(B) \equiv$ moduli space of deg $B$ nodal rational J-holomorphic curves in $X$ with $l$ marked points (can be viewed as a compact, smooth manifold)


$$
\begin{aligned}
& \left\langle\left[H_{1}\right], \ldots,\left[H_{l}\right]\right\rangle_{B}^{X}=\text { intersection number of } \\
& \qquad \overline{\mathfrak{M}}_{l}(B) \xrightarrow{\text { ev }} \underbrace{X \times \ldots \times X}_{l \text { times }} \hookleftarrow H_{1} \times \ldots \times H_{l}
\end{aligned}
$$

## WDVV Relations (Kontsevich '92, Ruan-Tian '93)


(both sides also pass through $H_{5}, \ldots, H_{l}$ )
Together with splitting formulas (expressing a nodal count as counts of its components), this gives relations for Gromov-Witten invariants.

Idea of proof - lifting homology relation from $\overline{\mathcal{M}}_{0,4}$

$$
\begin{aligned}
\overline{\mathcal{M}}_{0,4} \equiv\left\{\left(z_{1}, z_{2}, z_{3}, z_{4}\right): z_{i} \in \mathbb{P}^{1}\right\} / \operatorname{Aut}\left(\mathbb{P}^{1}\right) & \approx \mathbb{P}^{1} \\
{\left[\left(z_{1}, z_{2}, z_{3}, z_{4}\right)\right] } & \rightarrow \frac{\left(z_{1}-z_{2}\right)\left(z_{3}-z_{4}\right)}{\left(z_{1}-z_{3}\right)\left(z_{2}-z_{4}\right)} \\
\overbrace{z_{2}}^{z_{1}}-)_{z_{4}}^{z_{3}}=\sigma_{0} & \rightarrow 0 \\
\left.z_{z_{3}}^{z_{1}}\right)_{z_{4}}^{z_{2}}=\sigma_{\infty} & \rightarrow \infty
\end{aligned}
$$

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z_{2} & \rightarrow 0 \\
z_{1}-z_{z_{4}}^{z_{2}}=\sigma_{0} & \rightarrow 0
\end{aligned}
$$


$\mathcal{U} \overline{\mathcal{M}}_{0,4}: \quad$ universal family over $\overline{\mathcal{M}}_{0,4}$
$\pi \underset{ }{\downarrow} \overline{\mathcal{M}}_{0,4}$

## Idea of proof - lifting homology relation from $\overline{\mathcal{M}}_{0,4}$

$$
\overline{\mathfrak{M}}_{l}(B) \xrightarrow{\text { ev }} X^{l} \longleftrightarrow H_{1} \times \ldots \times H_{l}
$$

$\downarrow$ f: forgetful morphism, mapping a curve to its first 4 marked points $\overline{\mathcal{M}}_{0,4}$

$$
\begin{array}{r}
{\left[\sigma_{0}\right]=\left[\sigma_{\infty}\right] \in H_{0}\left(\overline{\mathcal{M}}_{0,4}\right) \Longrightarrow\left[f^{-1}\left(\sigma_{0}\right)\right]=\left[f^{-1}\left(\sigma_{\infty}\right)\right] \in H_{*}\left(\overline{\mathfrak{M}}_{l}(B)\right)} \\
\Longrightarrow \text { The intersection numbers }\left\{\begin{array}{l}
f^{-1}(0) \xrightarrow{\text { ev }} X^{l} \hookleftarrow H_{1} \times \ldots \times H_{l} \\
f^{-1}(\infty) \xrightarrow{\text { ev }} X^{l} \hookleftarrow H_{1} \times \ldots \times H_{l}
\end{array}\right. \\
\text { are equal. }
\end{array}
$$

## Real case

( $X, \omega, \phi$ ): compact real symplectic manifold of $\operatorname{dim} 2 n$
(real means $\phi: X \rightarrow X, \phi^{*} \omega=-\omega, \phi^{2}=\mathrm{id}$ ),
$J$ : real (i.e. $\phi^{*} J=-J$ ) $\omega$-tame almost complex structure. $X^{\phi}:=$ fixed locus of $\phi . B \in H_{2}(X)$.
A rational curve $C \subset X$ is called real if $\phi(C)=C$.
e.g. $X=\mathbb{C P}^{n}, \phi\left(\left[z_{0}, \ldots, z_{n}\right]\right)=\left[\bar{z}_{0}, \ldots, \bar{z}_{n}\right] ; X^{\phi}=\mathbb{R} \mathbb{P}^{n}$.

Every curve given by polynomials with real coefficients is real.
$H_{1}, \ldots, H_{l} \subset X$ closed submanifolds, $p_{1}, \ldots, p_{k} \in X^{\phi}$ points, s.t. $c_{1}(X) B+n-3+k+2 l=\sum_{i=1}^{l} \operatorname{codim} H_{i}+n k$.

Q: Counts of real curves?


## Welschinger invariants

Suppose $n=2$ or 3 . Suppose $X^{\phi}$ is oriented in $n=3$ case.
Theorem (Welschinger '03,'05 )
The number of degree $B$ real rational J-holomorphic curves passing through $H_{1}, \ldots, H_{l}, p_{1}, \ldots, p_{k}$, counted with appropriate signs, is independent of $J, p_{1}, \ldots, p_{k}$ and $H_{1}, \ldots, H_{l}$ in $\left[H_{1}\right], \ldots,\left[H_{l}\right] \in H_{*}\left(X-X^{\phi}\right)$.

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In $n=2$ case, a curve $C$ is counted with
$(-1)^{\#(\text { isolated real nodes of } C)}$.


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They provide lower bounds of the number of real curves.
Example: first few numbers for $\mathbb{C P}^{2}$ (with $H_{1}, \ldots, H_{l}$ being points)

|  | $d=1$ | $d=2$ | $d=3$ |
| :---: | :---: | :---: | :---: |
| $\mathrm{I}=0$ | 1 | 1 | $8,10,12$ |
| $\mathrm{I}=1$ | 1 | 1 | $6,8,10,12$ |
| $\mathrm{I}=2$ |  | 1 | $4,6,8,10,12$ |
| $\mathrm{I}=3$ |  |  | $2,4, \ldots, 12$ |
| $\mathrm{I}=4$ |  |  | $0,2, \ldots, 12$ |

## Real WDVV

Solomon'07 proposed WDVV-type relations for Welschinger invariants for symplectic 4-folds.

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Theorem (C.'18): When $\operatorname{dim} X=4$,
Solomon's relations for Welschinger invariants hold.
Theorem (C.-Zinger'19): When $\operatorname{dim} X=6$, similar formulas hold for $(X, \omega, \phi)$ with some finite symmetry. e.g. $\mathbb{C P}^{3}$ with a real hyperplane reflection.

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For many symplectic 4 -folds and 6-folds, they completely determine all Welschinger invariants recursively. e.g. $\mathbb{P}^{2}, \mathbb{P}^{1} \times \mathbb{P}^{1}$, real blow-ups of $\mathbb{P}^{2}, \mathbb{P}^{3}, \mathbb{P}^{1} \times \mathbb{P}^{1} \times \mathbb{P}^{1}$.

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Remark: Solomon-Tukachinsky'19 open WDVV-equations cover dimension 6 case above, but not dimension 4 , since $X^{\phi}$ does not need to be orientable.

## Real WDVV

Each diagram represents counts of curves of such shape (of total degree $B$, and passing through the rest of the constraints as well).



Together with splitting formulas, these two equations give WDVV-type relations for Welschinger invariants.

## Sketch of proof

$$
\begin{gathered}
\tau: \mathbb{P}^{1} \longrightarrow \mathbb{P}^{1} \\
{\left[z_{0}, z_{1}\right] \longrightarrow\left[\bar{z}_{0}, \bar{z}_{1}\right]}
\end{gathered}
$$


$\mathbb{R}_{\mathcal{M}}^{k, l}(B) \equiv\left\{u: \mathbb{P}^{1} \rightarrow X, J\right.$-holomorphic, $[u]=B, \phi \circ u=u \circ \tau$,

$$
\left.z_{1}^{ \pm}, \ldots, z_{l}^{ \pm} \in \mathbb{P}^{1}, z_{i}^{-}=\tau\left(z_{i}^{+}\right), x_{1}, \ldots, x_{k} \in \mathbb{R P}^{1}\right\} / \operatorname{Aut}_{\mathbb{R}}\left(\mathbb{P}^{1}\right)
$$

$=$ moduli space of degree $B$ real rational $J$-holomorphic
curves with $k$ real and $l$ conjugate pairs of marked points
$\mathbb{R} \overline{\mathfrak{M}}_{k, l}(B) \equiv$ its compactification by adding in real nodal curves


Sketch of proof
$\mathbb{R} \overline{\mathfrak{M}}_{k, l}(B)$ has a stratified space structure.


Remark: Curves of shape

never appear in our cases. In dim 4 this follows from the dimension condition; in $\operatorname{dim} 6$ this is taken care of by the finite symmetry condition.

## Sketch of proof

$\mathbb{R} \overline{\mathcal{M}}_{1,2} \equiv$ moduli space of the relative position of 1 real and 2 conjugate pairs of marked points on $\mathbb{P}^{1}$
$\mathbb{R} \overline{\mathcal{M}}_{0,3} \equiv$ moduli space of the relative position of 3 conjugate pairs of marked points on $\mathbb{P}^{1}$


$$
\mathbb{R} \overline{\mathcal{M}}_{1,2}\left(\text { resp. } \mathbb{R} \overline{\mathcal{M}}_{0,3}\right)
$$

$$
\begin{aligned}
\operatorname{ev}\left(\left[u, x_{1}, \ldots, z_{l}^{ \pm}\right]\right) & =\left(u\left(x_{1}\right), \ldots, u\left(x_{k}\right), u\left(z_{1}^{+}\right), \ldots, u\left(z_{l}^{+}\right)\right) \\
\mathrm{f}\left(\left[u, x_{1}, \ldots, z_{l}^{ \pm}\right]\right) & =\left(x_{1}, z_{1}^{ \pm}, z_{2}^{ \pm}\right)\left(\text {resp. }\left(z_{1}^{ \pm}, z_{2}^{ \pm}, z_{3}^{ \pm}\right)\right)
\end{aligned}
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$\mathbb{R} \overline{\mathcal{M}}_{1,2}\left(\right.$ resp. $\left.\mathbb{R} \overline{\mathcal{M}}_{0,3}\right) \longleftarrow$ May not be orientable!

$$
\begin{aligned}
\operatorname{ev}\left(\left[u, x_{1}, \ldots, z_{l}^{ \pm}\right]\right) & =\left(u\left(x_{1}\right), \ldots, u\left(x_{k}\right), u\left(z_{1}^{+}\right), \ldots, u\left(z_{l}^{+}\right)\right) \\
\mathrm{f}\left(\left[u, x_{1}, \ldots, z_{l}^{ \pm}\right]\right) & =\left(x_{1}, z_{1}^{ \pm}, z_{2}^{ \pm}\right)\left(\text {resp. }\left(z_{1}^{ \pm}, z_{2}^{ \pm}, z_{3}^{ \pm}\right)\right)
\end{aligned}
$$

## Sketch of proof

Idea: lifting homology relations from $\mathbb{R} \overline{\mathcal{M}}_{1,2}$ and $\mathbb{R} \overline{\mathcal{M}}_{0,3}$, together with bounding manifolds, to incorporate wall-crossing effects coming from walls that obstruct relative-orientability.

## Sketch of proof

- Curves of shape $\phi=-$ form (real) codim-1 strata in $\mathbb{R} \overline{\mathfrak{M}}_{k, l}(B)$.
- Solomon'06 $\left.\Longrightarrow \mathrm{ev}\right|_{\mathbb{R} \mathfrak{M}_{k, l}(B)}$ is relatively orientable, relative orientation extends through some codim-1 strata, but not the others (let's call them "bad strata".)


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ev is relatively orientable out of the red walls.


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- Solomon'06 $\left.\Longrightarrow \mathrm{ev}\right|_{\mathbb{R} \mathfrak{M}_{k, l}(B)}$ is relatively orientable, relative orientation extends through some codim-1 strata, but not the others (let's call them "bad strata".)
( $f: X \longrightarrow Y$ is relatively orientable if $f^{*} w_{1}(Y)=w_{1}(X)$; equivalently, for every $x \in X$ we have an identification between the orientations of $T_{x} X$ and $T_{f(x)} Y$, which varies continuously with $x$.)


## Sketch of proof

Let $\Gamma \subset \mathbb{R} \overline{\mathcal{M}}_{1,2}$ (resp. $\mathbb{R} \overline{\mathcal{M}}_{0,3}$ ) consist of curves of shape


Georgieva-Zinger'13 $\Longrightarrow \Gamma$ is a codim- 2 submanifold that bounds in $\mathbb{R} \overline{\mathcal{M}}_{0,3}$.

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We take $Y \subset \mathbb{R} \overline{\mathcal{M}}_{1,2}\left(\right.$ resp. $\left.\mathbb{R} \overline{\mathcal{M}}_{0,3}\right)$ s.t.

- $\partial Y=\Gamma$, and
- $Y \hookrightarrow \mathbb{R} \overline{\mathcal{M}}_{1,2}\left(\right.$ resp. $\left.\mathbb{R} \overline{\mathcal{M}}_{0,3}\right)$ is relatively oriented, i.e. $\mathcal{N} Y$ is oriented.


## Sketch of proof

Then, in
$\mathbb{R} \overline{\mathfrak{M}}_{k, l}(B) \xrightarrow{\text { ev×f }}\left(X^{\phi}\right)^{k} \times X^{l} \times \mathbb{R} \overline{\mathcal{M}}_{1,2} \hookleftarrow \underbrace{\left(p_{1} \times \ldots \times p_{k} \times H_{1} \times \ldots \times H_{l}\right)}_{(0,3)} \times Y$,

## Sketch of proof

Then, in

$$
\mathbb{R} \overline{\mathfrak{M}}_{k, l}(B) \xrightarrow{\text { ev } \times \mathrm{f}}\left(X^{\phi}\right)^{k} \times X^{l} \times \mathbb{R} \overline{\mathcal{M}}_{1,2} \hookleftarrow \underbrace{\left(p_{1} \times \ldots \times p_{k} \times H_{1} \times \ldots \times H_{l}\right)}_{\text {denote by } C} \times Y,
$$

the intersection numbers

$$
\mathbb{R} \overline{\mathfrak{M}}_{k, l}(B) \cdot(C \times \Gamma)= \pm 2 \text { "bad strata" } \cdot(C \times Y)
$$

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$$

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\mathbb{R} \overline{\mathfrak{M}}_{k, l}(B) \cdot(C \times \Gamma)= \pm 2 \text { "bad strata" } \cdot(C \times Y)
$$

- LHS of $(\star)$ would be 0 if everything is orientable.
- RHS of $(\star)$ is the wall-crossing effect from obstructions to relative orientability.


## Sketch of proof

$$
\mathbb{R}^{\mathcal{M}_{k, l}}(B) \cdot(C \times \Gamma)= \pm 2 \text { "bad strata" } \cdot(C \times Y)
$$

Proof of $(\star)$ :
$\mathbb{R} \widehat{\mathfrak{M}}(B) \equiv$ cut $\mathbb{R} \overline{\mathfrak{M}}_{k, l}(B)$ open along bad strata

- so it becomes a manifold with boundary. Then, in

$$
\mathbb{R} \widehat{\mathfrak{M}}(B) \xrightarrow{\text { evxf }}\left(X^{\phi}\right)^{k} \times X^{l} \times \mathbb{R} \overline{\mathcal{M}}_{1,2} \hookleftarrow C \times Y,
$$

## Sketch of proof

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$$
0=\partial((\mathbb{R} \widehat{\mathfrak{M}} \cdot(C \times Y))=\mathbb{R} \widehat{\mathbb{M}} \cdot \underbrace{\partial(C \times Y)}_{C \times \Gamma} \pm \underbrace{(\partial \mathbb{R} \widehat{\mathfrak{M}})}_{2 \text { "bad strata" }} \cdot(C \times Y) .
$$

$\mathbb{R} \widehat{\mathfrak{M}}(B)$


## Sketch of proof

$$
\begin{equation*}
\mathbb{R} \overline{\mathfrak{M}}_{k, l}(B) \cdot(C \times \Gamma)= \pm 2 \text { "bad strata" } \cdot(C \times Y) . \tag{*}
\end{equation*}
$$




LHSs $=$ counts of curves represented by $\Gamma \subset \mathbb{R} \overline{\mathcal{M}}_{1,2}$ (resp. $\mathbb{R} \overline{\mathcal{M}}_{0,3}$ ) RUSs $=$ counts of curves in "bad strata", cut out by $Y$

## Sketch of proof - splitting

Splitting of RHS of $(\star)$ :
a dimension count + good choice of $Y \Longrightarrow$


1 st bubble
ii
enid bubble
the one that
carries $Z_{1}^{*}$

For all bad strata contributing to RHS,

- 1st bubble is rigid
- cut out by $Y=$ fixing position of node on the 1st bubble

$$
\Downarrow
$$



## Sketch of proof - splitting

Splitting of LHS of $(\star)$ :
$\mathrm{n}=2$ : immediate, since generically two curves intersect at a fixed number of points in $X$ $\mathrm{n}=3$ : a dimension count $\Rightarrow$ only two cases:

- real bubble is rigid $\Rightarrow \#$ (nodal curves) $=$ \#(real bubble).\#(complex bubble, with an additional constraint)
- complex bubble is rigid $\Rightarrow \#$ (nodal curves) $=$ \#(complex bubble).\#(real bubble, with an additional complex constraint)


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- complex bubble is rigid $\Rightarrow \#$ (nodal curves) $=$ \#(complex bubble)•\#(real bubble, with an additional complex constraint)
We need to determine the homology class of this constraint in $H_{*}\left(X-X^{\phi}\right)$.


## Sketch of proof - splitting

We need to determine the homology class of the complex bubble in $H_{*}\left(X-X^{\phi}\right)$.


## Sketch of proof - splitting

We need to determine the homology class of the complex bubble in $H_{*}\left(X-X^{\phi}\right)$.


Idea: use a finite group action $G \subset \operatorname{Aut}(X, \omega, \phi)$ s.t.

$$
H_{2}\left(X-X^{\phi}\right)^{G} \approx H_{2}(X)
$$

Take constraints $H_{1}, \ldots, H_{l}$ to be $G$-invariant $\Longrightarrow$ The complex bubble is $G$-invariant, and thus determined.

## A remark on $G$

In the presence of such a group action, the invariants are defined
even when sphere bubbling (curves of shape
 the group action cancels such things in pairs.

## Real WDVV



$\Downarrow$

WDVV-type relations for Welschinger invariants

Thank you!

